



Day Trading Supplement

If you wish to engage in day trading in your Securities Account, please read, fill out, sign and return this Supplement. Please carefully read the information included in this Supplement, in particular the Day Trading Risk Disclosure Statement, to be sure you fully understand the risks associated with day trading. If you have any questions, including whether day trading is appropriate for you, please be sure to speak with your Financial Advisor.

Account Name:

If the holder of this account is a corporation, limited liability company, partnership, trust, estate or other entity, the person signing this Supplement hereby certifies that the governing documents for such entity (e.g., certificate of incorporation, certificate of formation, by-laws, LLC agreement, partnership agreement, trust agreement) authorize and permit the entity to engage in day trading, and enter into the transactions and perform the obligations set forth in this Supplement.

Primary Account Holder Name (includes Trustee, Executor, Minor for a custodial account, Partner, Officer):

Last Name

First Name

Please provide name of any additional individual associated with this account (such as a joint owner, authorized individual, administrator, co-trustee, co-executor, officer, custodian, partner or participant).

Additional Account Holder Name:

Last Name

First Name

Day Trading Risk Disclosure Statement

Vision Financial Markets LLC (“Vision,” “we” or “us”) is furnishing this document to you to provide facts about engaging in day trading, and to alert you to the risks involved with day trading. Before engaging in day trading, you should understand these risks and carefully review this Disclosure Statement. Consult your Financial Advisor regarding any questions or concerns you may have regarding day trading.

You should consider the following points before engaging in a day trading strategy. For purposes of this notice, a “day trading strategy” means an overall trading strategy characterized by the regular transmission by a customer of intra-day orders to effect both purchase and sale transactions in the same security or securities.

Day trading can be extremely risky. Day trading generally is not appropriate for someone of limited resources and limited investment or trading experience and low risk tolerance. You should be prepared to lose all of the funds that you use for day trading. In particular, you should not fund day trading activities with retirement savings, student loans, second mortgages, emergency funds, funds set aside for purposes such as education or home ownership, or funds required to meet your living expenses. Further, certain evidence indicates that an investment of less than \$50,000 will significantly impair the ability of a day trader to make a profit. Of course, an investment of \$50,000 or more will in no way guarantee success.

Be cautious of claims of large profits from day trading. You should be wary of advertisements or other statements that emphasize the potential for large profits in day trading. Day trading can also lead to large and immediate financial losses.

Day trading requires knowledge of securities markets. Day trading requires in-depth knowledge of the securities markets and trading techniques and strategies. In attempting to profit through day trading, you must compete with professional, licensed traders employed by securities firms. You should have appropriate experience before engaging in day trading.

Day trading requires knowledge of a firm’s operations. You should be familiar with a securities firm’s business practices, including the operation of the firm’s order execution systems and procedures. Under certain market conditions, you may find it difficult or impossible to liquidate a position quickly at a reasonable price. This can occur, for example, when the market for a stock suddenly drops, or if trading is halted due to recent news events or unusual trading activity. The more volatile a stock is, the greater the likelihood that problems may be encountered in executing a transaction. In addition to normal market risks, you may experience losses due to system failures.

Day trading will generate substantial commissions, even if the per trade cost is low. Day trading involves aggressive trading, and generally you will pay commissions on each trade. The total daily commissions that you pay on your trades will add to your losses or significantly reduce your earnings. For instance, assuming that a trade costs \$16 and an average of 29 transactions are conducted per day, an investor would need to generate an annual profit of \$111,360 just to cover commission expenses.

Day trading on margin or short selling may result in losses beyond your initial investment. When you day trade with funds borrowed from a firm or someone else, you can lose more than the funds you originally placed at risk. A decline in the value of the securities that are purchased may require you to provide additional funds to the firm to avoid the forced sale of those securities or other securities in your account. Short selling as part of your day-trading strategy also may lead to extraordinary losses, because you may have to purchase a stock at a very high price in order to cover a short position.

For additional information on the inherent risks associated with margin accounts, please contact your Financial Advisor or visit the Securities and Exchange Commission Web site at www.sec.gov/investor/pubs/margin.htm.

You certify that you have received, read and understand this Supplement including the Day Trading Risk Disclosure Statement.

Please Sign and Date Below

X

Signature

X

Additional Account Holder Signature (If applicable)

Print Name

Print Name of Additional Account Holder

Date

Date

Please provide name and signature of any additional individual associated with this account (such as a joint owner, authorized individual, administrator, co-trustee, co-executor, custodian, officer, partner or participant).

For Broker/Dealer Use Only

Registered Representative:

X

Signature

Print Name

Date

Approval for Day Trading: _____

Principal Approved

X

Signature of Manager or Authorized Person

Print Name

Date