

## Options Disclosure Document

Prior to buying or selling an option, investors must read a copy of the “Characteristics & Risks of Standardized Options”, also known as the options disclosure document. It explains the characteristics and risks of exchange traded options.

The options disclosure document and related supplements can be found at the following web address:

<http://www.theocc.com/about/publications/character-risks.jsp>

An electronic PDF copy of the documents can be sent to you. Please contact our office and provide an associate with a valid email address.

In addition, a hard copy of the documents can be provided to you upon request. Please contact our office with a mailing address and we will send the documents to you.

Once you have reviewed the document please complete the acknowledgement and return it to your representative.