



## Cash Management Supplement

By signing below, the applicant(s) agrees to the terms and conditions in this Supplement. **All persons listed on the account must sign the applicable area.**

**New Application**
 **Updating Application**

Margin Access (Margin Account Required):  Yes  No

**Vision Account Number** (if known):

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**Services Requested (Check all that apply):**

Automatic Account Builder
  Money Market Sweep
  Direct Deposit

### Applicant Data (Account User Information)

#### PRIMARY ACCOUNT HOLDER

#### JOINT ACCOUNT HOLDER

(Attach additional sheets, if necessary, for each additional account holder)

\_\_\_\_\_  
First Name Middle Initial

\_\_\_\_\_  
First Name Middle Initial

\_\_\_\_\_  
Last Name

\_\_\_\_\_  
Last Name

\_\_\_\_\_  
Name of Beneficiary if UGMA/UTMA

\_\_\_\_\_  
Social Security Number (required) Date of Birth (required)

\_\_\_\_\_  
Social Security Number (required) Date of Birth (required)

\_\_\_\_\_  
Mother's Maiden Name

\_\_\_\_\_  
Mother's Maiden Name

### Trusts & Family Partnerships (Please furnish appropriate trust or partnership documents)

\_\_\_\_\_  
Name of Trust/Partnership

\_\_\_\_\_  
Tax Identification Number

\_\_\_\_\_  
Trustee/Authorized Partner

### Business & Non-Profit Accounts (Please furnish appropriate resolution)

\_\_\_\_\_  
Name of Business or Non-Profit Entity

\_\_\_\_\_  
Authorized Representative Name

\_\_\_\_\_  
Tax Identification Number

**Choose the Cash Management Account features that you would like:**

**☐ I. Automatic Account Builder**

Automatic Account Builder lets you choose a monthly or quarterly date for automatic deposits to your Vision account(s). Each transfer must be for a minimum of \$100 and can be up to \$100,000.

Are these instructions replacing existing Automatic Account Builder instructions on your account?

YES  NO

I would like to invest\* \$ \_\_\_\_\_ on the \_\_\_\_\_ day of every  month or  quarter beginning on \_\_\_\_\_ into the account indicated on the first page of this Supplement. If a start date or investment amount is not provided, the installment will begin on the first day of the frequency indicated above and the investment amount will be the minimum. I authorize the bank named below to deduct the amount I have specified from my below referenced account.

**With this Automatic Account Builder form, please enclose a voided check for the bank account from which your investment will be deducted.**

**Financial Institution Information**

\_\_\_\_\_ Bank Name

\_\_\_\_\_ Bank Account Name

Bank Address \_\_\_\_\_ Street \_\_\_\_\_ City \_\_\_\_\_ State \_\_\_\_\_ Zip Code \_\_\_\_\_

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ABA/Routing Number

\_\_\_\_\_ Bank Account Number

Checking  Savings

For mutual fund investments, indicate the fund(s) you wish to automatically invest in. Please provide symbol(s) and percentage allocation below. Percentages must equal 100%. Note that investments will be held in your account in cash if you do not specify any fund(s).

Symbol**	Percentage
1. _____	_____ %
2. _____	_____ %
3. _____	_____ %
4. _____	_____ %
5. _____	_____ %

If you purchase a mutual fund through Vision, the fund may pay Vision a fee for the sale of the fund shares and on-going related services. This may create a conflict of interest by influencing your Vision Financial Advisor to recommend the fund over another investment.

**Please Sign and Date Below**

I request and authorize this Automatic Account Builder arrangement.

**X**

\_\_\_\_\_  
Authorized Signature

\_\_\_\_\_  
Print Name

\_\_\_\_\_  
Date

Vision Financial Markets LLC is an independent, registered broker/dealer. Member FINRA/SIPC.

Securities and insurance products offered by Vision Financial Markets • Not FDIC insured • May go down in value • Not financial institution guaranteed • Not a deposit • Not insured by any federal government agency

\*Minimum investment is \$100 for the money market funds available through Vision (other funds may be higher). Please refer to the fund's prospectus for minimum purchase requirements.

\*\* Please see the prospectus for any mutual funds you may be interested in. The prospectus contains complete information including all charges and expenses. Please read the prospectus carefully before investing.

## □ II. Money Market Sweep

Please be sure to carefully read the prospectus for the one fund that you select. The prospectus contains complete information including all charges and expenses. By signing below you acknowledge that you have read the prospectus for the fund you select.

Fund Name	Ticker Symbol	Select One
Prime Cash Obligations Fund	PTSXX	<input type="checkbox"/>
Prime Cash Obligations Fund	PCOXX	<input type="checkbox"/>
Trust For Treasury Obligations Fund	TCSXX	<input type="checkbox"/>
Government Obligations Fund	GFSXX	<input type="checkbox"/>
Municipal Obligations Fund	MFSXX	<input type="checkbox"/>
Other	_____	<input type="checkbox"/>

A money market mutual fund investment is not insured or guaranteed by the Federal Deposit Insurance Corporation or any other government agency. Although a money market mutual fund seeks to maintain a stable net asset value of \$1.00 per share, there is no guarantee that it will be able to do so (it is possible to lose money by investing in such a fund).

Money market mutual funds other than the specific funds listed above may be available to be established as the sweep option for your account. Please contact your Financial Advisor or Vision's Client Services team for more information.

If you purchase a mutual fund through Vision, the fund may pay Vision a fee for the sale of the fund shares and on-going related services. This may create a conflict of interest by influencing your Vision Financial Advisor to recommend the fund over another investment.

**Please Sign and Date Below**

**X**

\_\_\_\_\_  
Authorized Signature

\_\_\_\_\_  
Print Name

\_\_\_\_\_  
Date

## □ III. Direct Deposit

It is easy and convenient for you to direct deposit funds into your brokerage account with Vision Financial Markets. Your paycheck from your employer or recurring checks such as Social Security checks from the U.S. Treasury can be deposited directly in your Vision account saving you from waiting for the check to show up in the mail and/or the hassle of going to the bank to make a deposit. Once you have a money market sweep set up, the funds will be swept automatically to the money market fund(s) you have selected. If you do not have a sweep option set up, the funds will be held in your account in cash.

### Instructions

- First determine whether the specific entity can accommodate direct deposit.
- Ask the entity if they have a standard direct deposit form they require you to use and if so, complete the form using the information provided below.
- Entities such as the Social Security Administration provide copies of their direct deposit form on their Web site.
- If the entity does not have a standard form that they use, but is willing to provide direct deposit on your behalf, please contact Vision's Client Services team and we can provide you with a form to submit to them in order to establish direct deposit.

### Information to use on any form required to establish direct deposit to your Vision Financial Markets account:

BMO Harris Bank N.A. Bank  
111 W. Monroe St.  
Chicago IL, 60603  
ABA Number: 071-000-288  
Beneficiary: Vision Financial Markets LLC  
BNF Account Number: 4376372

Further Credit Account Name: \_\_\_\_\_

My Vision Account Number: 

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 (complete when known)

**(All 14 digits of your Vision account number are required to use direct deposit)**